Operational Qualification (OQ) Summary

Document Title	Operational Qualification (OQ) Summary
Document ID	OQ-001
Version	1.1
Status	APPROVED
Effective Date	31-Jan-2026
System	Validated Document Control (VDC) – AWS Serverless (Demo)
Owner	William O'Connell
Approver	Approver 2, QA Approver

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Version	Date	Author	Change Summary
1.0	(baseline)	William O'Connell	Initial baseline release (frozen in PROD).
1.1	31-Jan-2026	William O'Connell	Consolidates baseline and documents watermarking + audit trail updates implemented in PROD. No changes to approved SOPs/test reports (v1.0).

1. Purpose

Operationally verify URS controls, focusing on controlled copy identification (watermarking), audit trail immutability/visibility, signatures, and record protection.

2. Reused Approved Evidence (No Changes)

- Users/RBAC Test Report (Approved v1.0): role enforcement.
- MFA Test Report (Approved v1.0): MFA behavior.
- Document Deletion Prevention Test Report (Approved v1.0): record protection.

3. v1.1 Walkthrough Verification (Enhancement Controls)

- Verify controlled viewer watermark displays Status, Actor, Date/Time (UTC) for SUBMITTED/APPROVED/REJECTED.
- Verify audit trail displays complete event list with UTC timestamps and actor attribution.
- Verify approve/reject actions create attributable signature evidence (meaning + time).
- Verify integrity evidence visible for document version (hash/version id where displayed).

4. Result

All walkthrough verifications observed functioning as intended in PROD behavior for the v1.1 evidence package.

5. Deviations

No deviations recorded for v1.1 scope. Any future issues shall be handled per SOP-005.

Approvals

Prepared/Reviewed/Approved electronically in VDC; this PDF represents the controlled copy for the evidence package.

Role	Name / Title	Date (UTC)
Prepared By	William O'Connell, System Owner / Tester	31-Jan-2026
Reviewed By	Approver 1, QA Reviewer	31-Jan-2026
Approved By	Approver 2, QA Approver	31-Jan-2026